

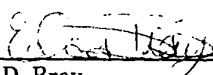
COPY OF PAPERS  
ORIGINALLY FILED

#3 1623  
PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on July 12, 2002.*

  
Elise D. Bray

Applicant : J. David Rozzell, Jr.  
Application No.: 10/039,952  
Filed : October 24, 2001  
Title : METHOD FOR CHEMICAL  
TRANSFORMATION USING A MUTATED  
ENZYME  
Grp./Div. : 1623  
Examiner : Not yet assigned  
Docket No. : 47418/KMO/B583

RECEIVED

JUL 23 2002

TECH CENTER 1600/2900

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
37 CFR § 1.97(b)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Post Office Box 7068  
Pasadena, CA 91109-7068  
July 12, 2002

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed. To the best of the undersigned's knowledge this filing is being made prior to the mailing of a first Office Action on the merits.

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM

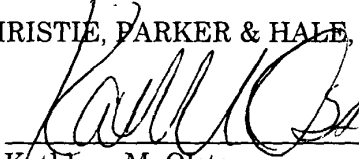
**Application No. 10/039,952**

PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By

  
Kathleen M. Olster

Reg. No. 42,052

626/795-9900

KMO/edh

Enclosures: PTO/SB/08A/B, w/references

EDB PAS447945.1.\*-7/12/02 7:52 AM

FORM PTO/SB/08A/B (10-01)  
Substitute for PTO-1449A/B

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(use as many sheets as necessary)



Attorney Docket Number	47418/KMO/B583
Application Number	10/039,952
Filing Date	October 24, 2001
Applicant(s)	J. David Rozzell, Jr.
Group Art Unit	1623
Examiner Name	Not yet assigned

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - kind code <sup>2</sup> . (If known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		4,518,692	05-21-1985	Rozzell
		4,710,467	12-01-1987	Wood, et al.
		4,745,059	05-17-1988	Voelskow, et al.
		5,316,943	05-31-1994	Kidman, et al.
		5,753,470	05-19-1998	Then, et al.
		5,854,035	12-29-1998	Stoyan, et al.
		US6,255,092B1	07-03-2001	Kojima, et al.

RECEIVED  
JUL 23 2002  
TECH CENTER 1600/2900

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup> (✓)

OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

EXAMINER SIGNATURE	DATE CONSIDERED
-----------------------	--------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

KMO/edb

EDB PAS447949.1--7/12/02 10:53 AM

COPY OF PAPERS  
ORIGINALLY FILED